

Attorney Docket: 600-1-291CON

THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S): MATTHEW ALBERT, et al.

SERIAL NO.:

10/014,877

EXAMINER: Gary B. Nickol

FILED:

December 11, 2001

ART UNIT:

1642

TITLE: METHODS FOR USE OF APOPTOTIC CELLS TO DELIVER ANTIGEN TO DENDRITIC

CELLS FOR INDUCTION OR TOLERIZATION OF T CELLS

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being forwarded by mail in an envelope addressed to The Mail Stop IDS, COMMISSIONER FOR PATENTS, P.O. BOX 1450, ALEXANDRIA, VA 22313-1450 on July 22, 2005.

Loretta Kavanagh (Name of Depositor)

(Signature and Date)

COMMISSIONER FOR PATENTS P.O. BOX 1450 **ALEXANDRIA, VA 22313-1450**

Dear Sir:

INFORMATION DISCLOSURE STATEMENT

In accordance with Applicant's and Applicant's representatives' Duty of Disclosure under 37 CFR § 1.56, and pursuant to 37 CFR § 1.97 and MPEP 717.05(b), Applicant(s) submit herewith documentary information for consideration by the Examiner. Information herein cited is only set forth in fulfillment of Applicant's duty of candor in disclosing all information brought to his attention, and is not an admission that it can be used adversely. The publication forwarded herewith is listed on the enclosed Form PTO-1449. Applicant(s) request that the Examiner, upon reviewing the enclosed material, initial the enclosed form and return a copy thereof in accordance with the instructions on the form.

No fee is believe due for the filing of this Statement. However, should the Patent and Trademark Office determine additional fees are due, authorization is hereby given to charge Deposit Account No. 11-1153 for this filing.

Respectfully submitted,

Veronica Mallon, Ph.D. Agent for Applicant(s)

Registration No. 52,491

KLAUBER & JACKSON 411 Hackensack Avenue Hackensack, NJ 07631 [201] 487-5800

,	OIPE	ve _{ro}
	"" 2 5 7	لية تكو
	. Jur	[4]
DI CENTO I	in ric	DEDNAMA

FORM PTO-140 U.S. DEBAKIMEN I OF COMPAND TRADEMARK OFFICE U.S. DEBARTMENT OF COMMERCE PATENT

INFORMATION DISCLOSURE CITATION

_		
	ATTY. DOCKET NO.	SERIAL NO.
	600-1-291CON	10/014, 877
١	APPLICANT	
	Matth	ew L. Albert, et al.
ŀ	FILING DATE	GROUP ART UNIT
	December 11, 2001	

	(Use several sheets if	FILING DATE December 1	1, 2001	GROUP ART UI	VIT			
		U.S. PA	TENT DOC	UMENTS				
EXAMINER INITIAL DOCUMENT NUMBER DATE NAME CLASS SUBCLASS IF APPROPRIATE								
	5,643,599	07/01/97	LEE, ET A	L.				
		FOREIGN	PATENT D	OCUMENTS	•	-		
					CLASS	SUBCLASS	TRANS	LATION
	DOCUMENT NUMBER DATE COUNTRY		OUNTRY		3024733	YES	NO	
•	99/58645	11/18/99	wo					
	97/29182 08/14/97 WO 95/34638 12/21/95 WO		wo					
	95/28479	10/26/95	wo					
O	THER DOCUMENTS	(INCLUDING	AUTHOR, TI	TLE, DATE, P	ERTINEN	T PAPERS, E	TC.)	
		T AL., "UPTAK GEN-PRESEN						GENS
		T AL., "ANTIG AR AND MOLE 991.						
AICHELE, ET AL., "ANTIVIRAL CYTOTOXIC T CELL RESPONSE INDUCED BY IN VIVO PRIMING WITH A FREE SYNTHETIC PEPTIDE," J. EXP. MED, 171:1815-1820, MAY 1990.								
ALBERT ET AL., "RESURRECTING THE DEAD: DCS CROSS-PRESENT ANTIGEN DERIVED FROM APOPTOTIC CELLS ON MHC 1", IMMUNOLOGIST, VOL 6, NO. 5, PP. 194-198, 1998.								
		ET AL., "DEN DUCE CLASS I-						
	VIA ανβ ₅	ET AL., "IMM AND CD36, AN CYTES," <u>JOUR</u>	VD CROSS-PI	RESENT ANTI	GENS TO	CYTOTOXIC	CT	CELL

EXAMINER

DATE CONSIDERED

EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

						100			
•		· ·				í ·		Sheet	2 of 1
FORM PTO-1449	PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE				600-1-2	o. 91CON	SERIAL NO. 10/014, 8	377	
INFORM	IATIO	N DISCLOSURE CITATION		APPLICANT Matthew L. Albert, et al.					
(Use se	everal sheets if nec	essary)		FILING DATE GROUP ART UNIT December 11, 2001				
			FOREIGN PA	TENT D	OCUMENTS				
		DOGD COMMININGEN	DATE		COUNTRY	CLASS	SUBCLASS	TRANS	LATION
		DOCUMENT NUMBER	D/ATE		CONTRI	_		YES	NO
	9:	5/15340	06/08/95	WO					
	9,	4/02156	02/03/94	wo					
	9.	3/20185	10/14/93	wo	WO				
	50	63 485 A1	10/06/93	EP	····				
	54	46 787 A2	06/16/93	EP					
	91	1/13632	09/19/91	wo	N				
Oï	HER	DOCUMENTS (IN	CLUDING AU	THOR, T	TTLE, DATE, I	PERTINEN	T PAPERS, E	TC.)	
		ALBERT, ET AL DEGENERATIO	, "TUMOR-SPI ON," <u>NATURE</u>	ECIFIC I MEDICI	KILLER CELLS NE, VOL. 4, N	S IN PARAI O. 11, PP 1	NEOPLASTIC 321-1324, NO	CEREBI VBEMER	ELLAR 1998.
			AMIGORENA, S., "ANTI-TUMOR IMMUNOTHERAPTY USING DENDRITIC-CI DERIVED EXOSOMES," RES IMMUNOL., 149, 661-662, 1998.					C-CELL-	
		ANDERSEN, ET PHOSPHOLIPII	ANDERSEN, ET AL., "BOVINE PAS-6/7 BINDS α _V β ₅ INTEGRIN AND ANIONIC PHOSPHOLIPIDS THROUGH TWO DOMAINS," <u>BIOCHEMISTRY</u> , 36:5441-5446, 1997.					·	
		SPECIFIC CYTC	D, ET AL., "CROSS-PRIMING OF MINOR HISTOCOMPATIBILITY ANTIGEN C CYTOTOXIC T CELLS UPON IMMUNIZATION WITH THE HEAT SHOCK N GP%," J. EXP. MED., 182, 885-889, SEPTEMBER 1995.						
		ARREGAARD, I MEMBRANES C							996.
		AUCHINCLOSS, TRANSPLANTA							
		BADLEY, A.D., 'FROM HIV-INF	"MACROPHAS ECTED INDIV	E-DEPE	NDENT APOI	PTOSIS OF D BY FASL	CD4+TLYM ANDTUMO	PHOCYT R NECRO	ES OSIS

EXAMINER

DATE CONSIDERED

EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

FACTOR," J. EXP. MED., 185, 55-64, JANUARY 1997.

OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAPERS, ETC.)

FORM PTO-1449	9		EPARTMENT OF COMMERCE PATENT RADEMARK OFFICE	600-1-291CON	SERIAL NO. 10/014, 877		
INFORI	MAT	ION	DISCLOSURE CITATION	Matth	ew L. Albert, et al.		
(Use several sheets if necessary)				PELING DATE December 11, 2001	GROUP ART UNIT		
			BAKKER, ET AL., "GENERATION FROM HEALTHY DONORS AFTER ANTIGEN-DERIVED EPTIOPES B' RESEARCH, VOL. 55, PP. 5330-5334,	R PRESENTATION OF MI Y DENDRITIC CELLS <u>IN</u>	ELANOMA-ASSOCIATED		
			BANCHEREAU, ET AL., "DENDRITIC CELLS AND THE CONTROL OF IMMUNIT NATURE, 392, 245-252, MARCH 1998.				
			BELLONE, ET AL., "PROCESSING EPITOPES," THE JOURNAL OF IM				
			BENDER, ET AL, "THE DISTINCT! OF DENDRITRIC CELLS," IMMUN				
			BENDER, ET AL., "IMPROVED ME CELLS FROM NONPROLIFERATIN METHODS., VOL. 196, PP. 121-135, 1	IG PROGENITORS IN HU			
·	BENDER, ET AL., "INACTIVATED INFLUENZA VIRUS, WHEN PRESENTED ON DENDRITIC CELLS, ELICIT HUMAN CD8+ CYTOLYTIC T CELL RESPONSES," J. EXP. MED., VOL. 182, PP. 1663-1671, DECEMBER, 1995.						
			BENDER, ET AL., "EFFECT OF GRANULOCYTE/MACROPHAGE COLONY- STIMULATING FACTOR ON HUMAN MONOCYTES INFECTED WITH INFLUENZA A VIRUS," I. IMMUNOL., 151, 5416-5424, NOVEMBER 15, 1993.				
			BENNETT, ET AL. ÍNDUCTION OF BY CROSS-PRIMING REQUIRES CO JULY 7, 1997.				
			BENNET, ET AL., "HELP FOR CYT SIGNALLING," <u>NATURE</u> , 393, 478-4		ISES IS MEDIATED BY CD40		
			BENICHOU, ET AL., "DONOR MAJ PEPTIDES ARE PRESENTED BY RI REJECTION," <u>I. EXP. MED.</u> , 175, 305	ECIPIENT MHC MOLECU			
			BEVAN, M.J., "CROSS-PRIMING FO MINOR H ANTIGENS WITH H-2 CO THE CYTOTOXIC ASSAY," <u>J. EXP.</u>	ONGENIC CELLS WHICH	DO NOT CROSS-REACT IN		
	BEVAN, M.J., "PRIMING FOR A CYTOTOXIC RESPONSE TO MINOR HISTOCOMPATIBILITY ANTIGENS: ANTIGEN SPECIFICITY AND FAILURE TO DEMONSTRATE A CARRIER EFFECT," JOURNAL OF IMMUNOLOGY, 118, 1370-1374, APRIL 1977.						
EXAMINER			D	ATE CONSIDERED			
EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.							

U.S. DEPARTMENT OF COMMERCE PATENT

AND TRADEMARK OFFICE

ATTY. DOCKET NO.	SERIAL NO.
600-1-291CON	10/014, 877
APPLICANT	
N 441-	T A16AAI

INFORMATION DISCLOSURE CITATION

L	000-1-291CON	10/014, 8//
Į.	APPLICANT	
	Matth	ew L. Albert, et al.
ŀ	FILING DATE	GROUP ART UNIT
	December 11, 2001	

	(Use sever	al sheets if necessary)		December 11, 2001			
	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAPERS, ET						
		BHARDWAJ, ET AL., "INFLUENZ STRONG PROLIFERATIVE AND CELLS," <u>I. CLIN, INVEST.</u> VOL. 9-	CY.	TOLYTIC RESPONSES FR	RITIC CELLS STIMULATE OM HUMAN CD8+ T		
	BHARDWAJ, ET AL., "IL-12 IN CONJUNCTION WITH DENDRITIC CELLS ENHAN ANTI-VIRAL, CD8+ CTL RESPONSES IN VITRO," J. CLIN INVEST., VOL. 98, NO. 3, 715-722, AUGUST, 1996.						
		BHARDWAJ, "INTERACTIONS OF EDGED SWORD," J. EXP. MED.,					
		BHARDWAJ, ET AL., "DENDRITI FO MICROBIAL SUPERANTIGEN					
		BLYSTONE, ET AL., "INTEGRIN ADHESIVE AND PHAGOCYTIC ALPHA 5 BETA 1," <u>JOURNAL OF</u>	FUN	CTIONS OF THE FIBROI	NECTIN RECEPTOR		
	BOOG, ET AL., "ABOLITION OF SPECIFIC IMMUNE RESPONSE DEFECT BY IMMUNIZATION WITH DENDRITIC CELLS," <u>NATURE</u> , VOL. 318, PP. 59-62, NOVEM 7, 1985.						
	BOON, ET AL., "TUMOR ANTIGENS RECOGNIZED BY T LYMPHOCYTES," <u>ANNU REVIEW OF IMMUNOLOGY</u> , VOL. 12, PP 337-365, 1994.						
		BOON, ET AL., "TUMOR ANTIG PRESENT PERSPECTIVES FOR S PP. 177-180, 1993.					
	BRITZ, ET Al., "SPECIALIZED ANTIGEN-PRESENTING CELLS, SPLICELLS AND PERITONEAL-EXUDATE CELLS INDUCED BY MYCOE ACTIVATE EFFECTOR T CELLS THAT ARE RESISTANT TO SUPPREMED., VOL. 155, PP. 1344-1356, MAY, 1982.						
	BUJDOSO, ET AL., "CHARACTERIZATION OF SHEEP AFFERENT LYMPH DENDRITION CELLS AND THEIR ROLE IN ANTIGEN CARRIAGE," J. EXP. MED., VOL. 170, PP. 1285-1302, OCTOBER, 1989.						
	CARBONE, ET AL., "INDUCTION OF CYTOTOXIC T LYMPHOCYTES BY PRIMARY IN VITRO STIMULATION WITH PEPTIDES," J. EXP. MED., 167, 1767-1779, JUNE 1988.						
	CARMICHAEL, ET AL., "QUANTITATIVE ANALYSIS OF THE HUMAN IMMUNODEFICIENCY VIRUS TYPE 1 (HIV-1)-SPECIFIC CYTOIOXIC T LYMPHOCYTE (CTL) RESPONSE AT DIFFERENT STAGES OF HIV-1 INFECTION: DIFERENTIAL CTL RESPONSES TO HIV-1 AND EPSTEIN-BARR VIRUS IN LATE DISEASE,", J. EXP. MED, 177: 249-256, FEBRUARY 1993.						
EXAMINER			DA'l	TE CONSIDERED			

EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

Sheet 5 of 19 ATTY. DOCKET NO. SERIAL NO. **"U.S. DEPARTMENT OF COMMERCE PATENT FORM PTO-1449** AND TRADEMARK OFFICE 600-1-291CON 10/014, 877 APPLICANT INFORMATION DISCLOSURE CITATION Matthew L. Albert, et al. FILING DATE GROUP ART UNIT (Use several sheets if necessary) December 11, 2001 OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAPERS, ETC.) CAUX, ET AL., "HUMAN DENDRITIC LANGERHANS CELLS GENERATED IN VITRO FROM CD34+ PROGENITORS CAN PRIME NAIVE CD4+ T CELLS AND PROCESS SOLUBLE ANTIGEN," I. IMMUNOLOGY, VOL. 155, PP. 5427-5435, 1995. CAUX, ET AL., "CD34+ HEMATOPOIETIC PROGENITORS FROM HUMAN CORD BLOOD DIFFERENTIATE ALONG TWO INDEPENDENT DENDRITIC CELL PATHWAYS IN RESPONSE TO GM-CSF+ TNFa," <u>I. EXP. MED.</u> VOL. 184, PP. 695-706, AUGUST, 1996. CAUX, ET AL., "GM-CSF AND TNF-α COOPERATE IN THE GENERATION OF DENDRITIC LANGERHANS CELLS," NATURE, VOL. 360, PP. 258-261, NOVEMBER 19, CAUX, C., ET AL., "ACTIVATION OF HUMAN DENDRITIC CELLS THROUGH CD40 CROSS-LINKING," J. EXP. MED., 180, 1263-1272, OCTOBER 1994. CELLA M, ET AL., "LIGATION OF CD40 ON DENDRITIC CELLS TRIGGERS PRODUCTION OF HIGH LEVELS OF INTERLEUKIN-12 AND ENHANCES T CELL STIMULATORY CAPACITY: T-T HELP VIA APC ACTIVATION," LEXP MED., 184:747-752, AUGUST 1996. CELLA, M. ET AL., "INFLAMMATORY STIMULI INDUCE ACCUMULATION OF MHC CLASS II COMPLEXES ON DENDRITIC CELLS," NATURE, 388, 782-787, AUGUST 1997. CELLA, ET AL., "ORIGIN, MATURATION AND ANTIGEN PRESENTING FUNCTION OF DENDRITIC CELLS," CURR. OPIN. IMMUNOL, 9, 10-16, 1997. CHICZ, R. M., ET AL., "PREDOMINANT NATURALLY PROCESSED PEPTIDES BOUND TO HLA-DR1 ARE DERIVED FROM MHC-RELATED MOLECULES AND ARE HETEROGENEOUS IN SIZE," NATURE, 358, 764-768, AUGUST 27, 1992. COHEN, ET AL., "MURINE EPIDERMAL LANGERHANS CELLS AND SPLENIC DENDRITIC CELLS PRESENT TUMOR-ASSOCIATED ANTIGENS TO PRIMED T CELLS." EUR. J. IMMUNOL., VOL. 24, PP. 315-319, 1994. COHEN, "CASPASES: THE EXECUTIONERS OF APOPTOSIS," BIOCHEM, I., 326, PP 1-16, 1997. COHEN, J.J., "APOPTOSIS," <u>IMMUNOL.</u> TODAY, 14, 126-130, 1993. CROWLEY, ET AL., "DENDRITIC CELLS ARE THE PRINCIPAL CELLS IN MOUSE SPLEEN BEARING IMMUNOGENIC FRAGMENTS OF FOREIGN PROTEINS," J. EXP. MED., VOL. 172, NO. 1, PP. 383-386, JULY, 1990. DE BRUIJN, ET AL., "MECHANISMS OF INDUCTION OF PRIMARY VIRUS-SPECIFIC CYTOTOXIC T LYMPHOCYTE RESPONSES," EUR. I. IMMUNOL., VOL. 22, PP. 3013-3020.

EXAMINER

1992.

DATE CONSIDERED

EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

Sheet 6 of 19 ATTY. DOCKET NO. SERIAL NO. FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE 600-1-291CON 10/014, 877 APPLICANT INFORMATION DISCLOSURE CITATION Matthew L. Albert, et al. GROUP ART UNIT FILING DATE (Use several sheets if necessary) **December 11, 2001** OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAPERS, ETC.) DEPANFILIS, G., ET AL., "THE S-100B PROTEIN PRESUMABLY REPRESENTS A NEW MARKER FOR THE T SUPPRESSOR CELLS," <u>IOURNAL OF INVESTIGATIVE</u> DERMATOLOGY, 87, 1986. DEPANFILIS, G., ET AL, "AN IN SITU IMMUNOGOLD METHOD APPLIED TO THE IDENTIFICATION OF PLASMA MEMBRANE-ASSOCIATED ANTIGENS OF SKIN-INFILTRATING CELLS," <u>IOURNAL OF INVESTIGATIVE DERMATOLOGY</u>, 510-514, 1986. DE SAINT-VIS, ET AL., "A NOVEL LYSOSOME-ASSOCIATED MEMBRANE GLYCOPROTEIN, DC-LAMP, INDUCED UPONDC MATURATION, IS TRANSIENTLY EXPRESSED IN MHC CLASS II COMPARTMENT, IMMUNITY., 9,325-336, SEPTEMBER 1998. DE SMEDT T, ET AL., "REGULATION OF DENDRITIC CELL NUMBERS AND MATURATION BY LIPOPOLYSACCHARIDE IN VIVO," J EXP MED., 184:1413-1424, OCTOBER 1996. DE TONGI, ET AL., "ABNORMAL DEVELOPMENT OF PERIPHERAL LYMPHOID ORGANS IN MICE DEFICIENT IN LYMPHOTOXIN," SCIENCE, 264, 703-706, APRIL 29, 1994. DEVITT, ET AL., "HUMAN CD14 MEDIATES RECOGNITION AND PHAGOCYTOSIS OF APOPTOPIC CELLS," NATURE, 392, 505-509, APRIL 2, 1998. DIAZ-GONZELEZ, F., ET AL., "TRANS-DOMINANT INHIBITION OF INTEGRIN FUNCTION," MOLECULAR BIOLOGY OF THE CELL, 7, 1939-1951, DECEMBER 1996. DRANOFF, ET AL., "VACCINATION WITH IRRADIATED TUMOR CELLS ENGINEERED TO SECRETE MURINE GRANULOCYTE-MACROPHAGE COLONY-STIMULATING FACTOR STIMULATES POTENT, SPECIFIC, AND LONG-LASTING ANTI-TUMOR IMMUNITY," PRAC. NATL. ACAD. SCI., USA, VOL. 90, NO. 8, PP. 3539-3543, APRIL 1993. DISIS, ET AL., "IN VITRO GENERATION OF HUMAN CYTOLYTIC T-CELLS SPECIFIC FOR PEPTIDES DERIVED FROM THE HER-2/NEU PROTOONCOGENE PROTEIN", CANCER RESEARCH, VOL. 54, FEBRUARY 15, 1994, PP.1071-1076 DRANOFF, ET AL, "VACCINATION WITH IRRADIATED TUMORCELLS ENGINEERED TO SECRETE MURINE GRANULOCYTE-MACROPHAGE COLONY-STIMULATING FACTOR STIMULATES POTENT, SPECIFIC, AND LONG-LASTING ANTI-TUMOR IMMUNITY", PRAC. NATL ACAD. SCI., USA, VOL. 90, NO. 8, APRIL 1993, PP. 3539-3543 DUVALL, E,M, ET AL., "DEATH AND THE CELL," IMMUNOL, TODAY, 7, 115-119, 1986. FADOK, V.A., ET AL., "DIFFERENT POPULATIONS OF MACROPHAGES USE EITHER THE VITRONECTIN RECEPTOR OR THE PHOSPHATIDYLSERINE RECEPTOR TO RECOGNIZE AND REMOVE APOPTOTIC CELLS," J. IMMUNOL., 149, 4029-4035, DECEMBER 15, 1992.

DATE CONSIDERED

EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

EXAMINER

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO.

600-1-291CON

APPLICANT

10/014, 877

SERIAL NO.

INFORMATION DISCLOSURE CITATION

Matthew L. Albert, et al.

FILING DATE GROUP ART UNIT

(Use several sheets if necessary) December 11, 2001 OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAPERS, ETC.) FADOK, V.A., ET AL., "MACROPHAGES THAT HAVE INGESTED APOPTOTIC CELLS IN VITRO INHIBIT PROINFLAMMATORY CYTOKINE PRODUCTION THROUGH AUTOCRINE/PARACRINE MECHANISMS INVOLVING TGF-B, PGE2, AND PAF," I. CLIN. INVEST., 101, 890-898, FEBRUARY 1998. FANGMANN, I., ET AL., "REJECTION OF SKIN ALLOGRAFIS BY INDIRECT ALLORECOGNITION OF DONOR CLASS I MAJOR HISTOCOMPATIBILITY COMPLEX PEPTIDES," J. EXP. MED., 175, 1521-1529, JUNE 1992. FAUSTMAN, ET AL., "PREVENTION OF REJECTION OF MURINE ISLET ALLOGRAFIS BY PRETREATMENT WITH ANTI-DENDRITIC CELL ANTIBODY," PROC. NA'IL ACAD. SCI. USA, VOL. 81, PP. 3864-3868, JUNE 1984. FENTEANY, G., ET AL., "INHIBITION OF PROTEASOME ACTIVITIES AND SUBUNIT-SPECIFIC AMINO-TERMINAL THREONINE MODIFICATION BY LACTACYSTIN," SCIENCE, 268, 726-731, MAY 5, 1995. FESQ, H., ET AL., "PROGRAMMED CELL DEATH (APOPTOSIS) IN HUMAN MONOCYTES INFECTED BY INFLUENZA VIRUS," IMMUNOBIOL., 190, 175-182, 1994. FINNEMANN, S.C., ET AL., "PHAGOCYTOSIS OF ROD OUTER SEGMENTS BY RETINAL PIGMENT EPITHELIAL CELLS REQUIRES ALPHA (V) BETA5 INTERGRIN FOR BINDING BUT NOT FOR INTERNALIZATION," PNAS, 94, 12932-12937, NOVEMBER 1997. FISHER, ET AL., "NEOPLASTIC CELLS OBTAINED FROM HODGKIN'S DISEASE FUNCTION AS ACCESSORY CELLS FOR MITOGEN-INDUCED HUMAN T CELL PROLIFERATIVE RESPONSES," I. IMMUNOL., VOL. 132, NO. 5, PP. 2672-2677, MAY, 1984. FLAMAND, ET AL., "MURINE DENDRITIC CELLS PULSED IN VITRO WITH TUMOR ANTIGEN INDUCE TUMOR RESISTANCE IN VIVO," EUR. J. IMMUNOL., VOL 24, PP. 605-610, 1994. FLAMAND, ET AL., "VACCINATION WITH TUMOR ANTIGEN-PULSED DENDRITIC CELLS INDUCES IN VIVO RESISTANCE TO A B CELL LYMPHOMA," ADV. EXP. MED. BIOL., VOL. 329, PP. 611-615, 1993. FOSSUM, ET AL., "THE ROLES OF INTERDIGITATING CELLS AND NATURAL KILLER CELLS IN THE RAPID REJECTION OF ALLOGENEIC LYMPHOCYTES," EUR. J. IMMUNOL., 16, 440-450, 1986. FORSTER, I., ET AL., "PERIPHERAL TOLERANCE OF CD4 T CELLS FOLLOWING LOCAL ACTIVATION IN ADOLESCENT MICE," EUR. J. IMMUNOL., 26, 3194-3202, 1996. FRIEDLANDER, M., ET AL., "DEFINITION OF TWO ANGIOGENIC PATHWAYS BY DISTINCT av INTEGRINS," SCIENCE, 270, 1500-1502, DECEMBER 1, 1995.

EXAMINER

DATE CONSIDERED

EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

ATTY, DOCKET NO. SERIAL NO. U.S. DEPARTMENT OF COMMERCE PATENT FORM PTO-1449 AND TRADEMARK OFFICE 600-1-291CON 10/014, 877 APPLICANT INFORMATION DISCLOSURE CITATION Matthew L. Albert, et al. FILING DATE GROUP ART UNIT (Use several sheets if necessary) December 11, 2001 OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAPERS, ETC.) GAO, X., ET AL., "PRIMING OF INFLUENZA VIRUS-SPECIFIC CYTOTOXIC T LYMPHOCYTES VIVO BY SHORT SYNTHETIC PEPTIDES," L. IMMUNOL, 147:3268-3273, NOVEMBER 15, 1991. GAO, Y., ET AL., "ANTIINFLAMMATORY EFFECTS OF CD95 LIGAND (FASL)-INDUCED APOPTOSIS," <u>I. EXP. MED.</u>, 188, 887-896, SEPTEMBER 7, 1998. GODMAN, G.C., ET AL., "ACTION OF CYTOCHALASIN D ON CELLS OF ESTABLISHED LINES. ZEIOSIS AND MOVEMENTS AT THE CELL SURFACE," I CELL BIOLOGY, 64, 644-667, 1975. GOTCH, F., ET AL., "CYTOTOXIC T LYMPHOCYTES RECOGNIZE A FRAGMENT OF INFLUENZA VIRUS MATRIX PROTEIN IN ASSOCIATION WITH HLA-A2," NATURE, 326, 881-882, APRIL 30, 1987. HEATH, W.R., ET AL., "CROSS-TOLERANCE: A PATHWAY FOR INDUCING TOLERANCE TO PHERIPHERAL TISSUE ANTIGENS," <u>I. EXP. MED.</u>, 187, 1549-1553, MAY 18, 1998. HEEMELS, M., ET AL., "GENERATION, TRANSLOCATION, AND PRESENTATION OF MHC CLASS I-RESTRICTED PEPTIDES," H. ANNUAL REVIEW OF BIOCHEMISTRY, 64, 463-491, 1995. HESS, K.L., ET AL., "A NOVEL FLOW CYTOMETRIC METHOD FOR QUANTIFYING PHAGOCYTOSIS OF APOPTOTIC CELLS," CYTOMETRY, 27, 145-152, 1997. HILL, A.V.S., ET AL., "MOLECULAR ANALYSIS OF THE ASSOCIATION OF HLA-B53 AND RESISTANCE TO SEVERE MALARIA," NATURE 360:434-439, DECEMBER 3, 1992. HIRST, G.K., "THE QUANTITATIVE DETERMINATION OF INFLUENZA VIRUS AND ANTIBODIES BY MEANS OF RED CELL AGGLUTINATION," J. EXP. MED., 75, 49-64, 1942. HOFMAN, P., ET AL., "SUSCEPTIBILITY OF MONONUCLEAR PHAGOCYTES TO INFLUENZA A VIRUS INFECTION AND POSSIBLE ROLE IN THE ANTIVIRAL RESPONSE," J. LEUK. BIOL., 61, 408-414, APRIL 1997. HOSAKA, ET AL., "ENTRY OF HEAT-INACTIVATED INFLUENZA VIRUS AND INDUCTION OF TARGET SUSCEPTIBILITY TO CYTOTOXIC T CELL-MEDIATED LYSIS," VIRUS RES., SUPPL. 1, P. 56, 1985. HSU, ET AL., "VACCINATION OF PATIENTS WITH B-CELL LYMPHOMA USING AUTOLOGOUS ANTIGEN-PULSED DENDRITIC CELLS," NATURE MEDICINE, VOL.2, NO. 1, PP. 52-58, JANUARY 1996. HUANG, ET AL, "ROLE OF BONE MARROW-DERIVED CELLS IN PRESENTING MHC CLASS I-RESTRICTED TUMOR ANTIGENS," SCIENCE, 264, 961-965, MAY 13, 1994. **EXAMINER** DATE CONSIDERED EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

US. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTY, DOCKET NO.	SERIAL NO.
600-1-291CON	10/014, 877
APPLICANT	
Matthe	w L. Albert, et al.
FILING DATE	GROUP ART UNIT

INFORMATION DISCLOSURE CITATION

(Use sever	al sheets if necessary)	December 11, 2001				
OTHER DO	CUMENTS (INCLUDING AUTHOR, T	TTLE, DATE, PERTINENT	PAPERS, ETC.)			
	HUGHES, ET AL., "INTEGRIN AFFI 364, SEPTEMBER 1998.	NITY MODULATION," <u>TI</u>	RENDS CELL BIOL., 8:359-			
	HUNT, D.F., ET AL., "PEPTIDES PRESENTED TO THE IMMUNE SYSTEM BY THE MURINE CLASS II MAJOR HISTOCOMPATIBILITY COMPLEX MOLECULE 1-A4," SCIENCE, 256, 1817-1820, JUNE 26, 1992.					
	IGNATOWICZ, L., ET AL., "THE RE MHC/PEPTIDE LIGAND," <u>CELL</u> , 84					
	INABA, K., ET AL., "EFFICIENT PRESENTATION OF PHAGOCYTOSED CELLULAR FRAGMENTS ON THE MAJOR HISTOCOMPATIBILITY COMPLEX CLASS II PRODUCTS OF DENDRITIC CELLS," I. EXP. MED., VOL. 188, NO.11, PP 2163-2173, DECEMBER 7, 1998.					
	INABA, K., ET AL., "THE TISSUE DI ABUNDANT EXPRESSION ON DEN MATURATION IN VITRO," J. EXP. M	IDRITIC CELLS IN SITU A	ND DURING			
	INABA, K., ET AL., "HIGH LEVELS OF A MAJOR HISTOCOMPATIBILITY COMPLEX II- SELF PEPTIDE COMPLEX ON DENDRITIC CELLS FROM THE T CELL AREAS OF LYMPH NODES," <u>JOURNAL OF EXPERIMENTAL MEDICINE</u> , VOL. 186, NO. 5, PP 665- 672, AUGUST 29, 1997.					
	INABA, K., ET AL., "DENDRITIC CELL PROGENITORS PHAGOCYTOSE PARTICULATES, INCLUDING BACILLUS CALMETTE-GUERIN ORGANISMS, AND SENSITIZE MICE TO MYCOBACTERIAL ANTIGENS IN VIVO." J. EXP. MED., 178, 479- 488, AUGUST 1993.					
	INABA, K., ET AL., "DENDRITIC CE INTERN. REV. IMMUNOL., 6, 197-20		TING CELLS IN VIVO,"			
-	INABA, ET AL., "DENDRITIC CELLS CAN PRIME ANTIGEN-SPECIFIC, M VOL. 172, PP. 631-640, AUGUST, 1990	IHC-RESTRICTED T CELLS				
	INABA, ET AL., "DENDRITIC CELLS DEPENDENT ANTIBODY RESPONS SCI. USA, 80:6041-6045, 1983.					
	INABA, ET AL., "PROTEIN SPECIFIC INITIATED BY DENDRITIC CELLS, 2, 1985.					
	INABA, ET AL., "CLUSTERING OF D HISTOCOMPATIBLE B CELLS DURI EXP. MED., VOL. 160, NO. 3, PP. 858-	NG PRIMARY ANTIBODY				
EXAMINER	DA	TE CONSIDERED				
	EFERENCE CONSIDERED, WHETHE					

MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

600-1-291CON

SERIAL NO. 10/014, 877

APPLICANT

ATTY. DOCKET NO.

10/014, 0/

INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Matthew L. Albert, et al.

FILING DATE GROUP ART UNIT

December 11, 2001

OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAPERS, ETC.)

PP 7:10-7:14, 1997. JOHNSON, R.P., ET AL., "IDENTIFICATION OF OVERLAPPING HLA CLASI- RESTRICTED CYTOTOXIC T CELL EPITOPES IN A CONSERVED REGION OF THE HUMAN IMMUNODEFICIENCY VIRUS TYPE 1 ENVELOPE GLYCOPROTEIN: DEFINITION OF MINIMUM EPITOPES AND ANALYSIS OF THE EFFECTS OF SEQUENCE VARIATION," J. EXP. MED, 175:961-971, APRIL 1992. JONDAL, ET AL., "MHC CLASS-I RESTRICTED CTL RESPONSES TO EXOGENOUS ANTIGENS," IMMUNITY, 5:295-302, OCTOBER 1996.		
PP 7:10-7:14, 1997. JOHNSON, R.P., ET AL., "IDENTIFICATION OF OVERLAPPING HIA CLASI-RESTRICTED CYTOTOXIC T CELL EPITOPES IN A CONSERVED REGION OF THE HUMAN IMMUNODEFICIENCY VIRUS TYPE 1 ENVELOPE GLYCOPROTIEN: DEFINITION OF MINIMUM EPITOPES AND ANALYSIS OF THE EFFECTS OF SEQUENCE VARIATION," J. EXP. MED., 175:961-971, APRIL 1992. JONDAL, ET AL., "MHC CLASS-I RESTRICTED CTL RESPONSES TO EXOGENOUS ANTIGENS," IMMUNITY, 5:295-302, OCTOBER 1996. KHANNA, R., ET AL.," HIERARCHY OF EPSTEIN-BARR VIRUS-SPECIFIC CYTOTOXIC TOTAL CELL RESPONSES IN INDIVIDUALS CARRYING DIFFERENT SUBTYPES OF AN HIAL ALLELE: IMPLICATIONS FOR EPITOPE-BASED ANTIVIRAL VACCINES," J. VIROL, 71(10):7429-7435, OCTOBER 1997. KOVACSOVICS-BANKOWSKI, ET AL., "A PHAGOSOME-TO-CYTOSOL PATHWAY FOR EXOGENOUS ANTIGENS PRESENTED ON MHC CLASS I MOLECULES," SCIENCE, 267, 243-246, JANUARY 13, 1995. KURTS, ET AL., "CONSTITUTIVE CLASS I-RESTRICTED EXOGENOUS PRESENTATION OF SELF ANTIGENS IN VIVO." J. EXP. MED., 184, 923-930, SEPTEMBER 1996. KURTS, C., ET AL., "CLASS I-RESTRICTED CROSS-PRESENTATION OF EXOGENOUS SELF ANTIGENS LEADS TO DELETION OF AUTOREACTIVE CD8+ T CHLS," J. EXP. MED., 186, 239-245, JULY 21, 1997. KURTS, C., ET AL., "THE PERIPHERAL DELETION OF AUTOREACTIVE CD8+ T CELLS INDUCED BY CROSS-PRESENTATION OF SELF-ANTIGENS INVOLVES SIGNALING THROUGH CD95 (FAS, APO-1)," J. EXP. MED., 188, 415-420, JULY 20, 1998. KUZU, H., ET AL., "IN VIVO PRIMING EFFECT DURING VARIOUS STAGES OF ONTOGENY OF AN INFLUENZA A VIRUS NUCLEOPROTEIN PEPTIDE," EUR. J. MMUNOL., 23-1397-1400, 1993. LARHAMMAR, D., ET AL., "ALPHA CHAIN OF HLA-DR TRANSPLANTATION ANTIGENS IS A MEMBER OF THE SAME PROTEIN SUPERFAMILY AS THE IMMUNOCLOBULINS,"		MOUSE BONE MARROW CULTURES SUPPLEMENTED WITH GRANULOCYTE/MACROPHAGE COLONY-STIMULATING FACTOR," J. EXP. MED.,
RESTRICTED CYTOTOXIC T CELL EPITOPES IN A CONSERVED REGION OF THE HUMAN IMMUNODEFICIENCY VIRUS TYPE 1 ENVELOPE GLYCOPROTEIN: DEFINITION OF MINIMUM EPITOPES AND ANALYSIS OF THE EFFECTS OF SEQUENCE VARIATION," J. EXP. MED., 175:961-971, APRIL 1992. JONDAL, ET AL., "MHC CLASS-I RESTRICTED CTL RESPONSES TO EXOGENOUS ANTIGENS," IMMUNITY, 5:295-302, OCTOBER 1996. KHANNA, R., ET AL.," HIERARCHY OF EPSTEIN-BARR VIRUS-SPECIFIC CYTOTOXIC TCELL RESPONSES IN INDIVIDUALS CARRYING DIFFERENT SUBTYPES OF AN HLA ALLELE: IMPLICATIONS FOR EPITOPE-BASED ANTIVIRAL VACCINES," J. VIROL, 71(10):7429-7435, OCTOBER 1997. KOVACSOVICS-BANKOWSKI, ET AL., "A PHAGOSOME-TO-CYTOSOL PATHWAY FOR EXOGENOUS ANTIGENS PRESENTED ON MHC CLASS I MOLECULES," SCIENCE, 267, 243-246, JANUARY 13, 1995. KURTS, ET AL., "CONSTITUTIVE CLASS I-RESTRICTED EXOGENOUS PRESENTATION OF SELF ANTIGENS IN VIVO." J. EXP. MED., 184, 923-930, SEPTEMBER 1996. KURTS, C, ET AL., "CLASS I-RESTRICTED CROSS-PRESENTATION OF EXOGENOUS SELF ANTIGENS LEADS TO DELETION OF AUTOREACTIVE CD8+ T CHLS," J. EXP. MED., 186, 239-245, JULY 21, 1997. KURTS, C., ET AL., "THE PERIPHERAL DELETION OF AUTCREACTIVE CD8+ T CELLS INDUCED BY CROSS-PRESENTATION OF SELF-ANTIGENS INVOLVES SIGNALING THROUGH CD95 (FAS, APO-1)," J. EXP. MED., 188, 415-420, JULY 20, 1998. KUZU, H., ET AL., "IN VIVO PRIMING EFFECT DURING VARIOUS STAGES OF ONTOGENY OF AN INFLUENZA A VIRUS NUCLEOPROTEIN PEPTIDE," EUR. J. IMMUNOL, 23:1397-1400, 1993. LARHAMMAR, D., ET AL., "ALPHA CHAIN OF HLA-DR TRANSPLANTATION ANTIGENS IS A MEMBER OF THE SAME PROTEIN SUPERFAMILY AS THE IMMUNOCLOBULINS,"		JANEWAY ET AL., <u>IMMUNOBIOLOGY</u> , GARLAND PUBLISHING INC., THIRD EDITION, PP 7:10-7:14, 1997.
ANTIGENS," IMMUNITY, 5:295-302, OCTOBER 1996. KHANNA, R., ET AL.," HIERARCHY OF EPSTEIN-BARR VIRUS-SPECIFIC CYTOTOXIC TO CELL RESPONSES IN INDIVIDUALS CARRYING DIFFERENT SUBTYPES OF AN HILA ALLELE: IMPLICATIONS FOR EPITOPE-BASED ANTIVIRAL VACCINES," J. VIROL., 71(10):7429-7435, OCTOBER 1997. KOVACSOVICS-BANKOWSKI, ET AL., "A PHAGOSOME-TO-CYTCSOL PATHWAY FOR EXOGENOUS ANTIGENS PRESENTED ON MHC CLASS I MOLECULES," SCIENCE, 267, 243-246, JANUARY 13, 1995. KURTS, ET AL., "CONSTITUTIVE CLASS I-RESTRICTED EXOGENOUS PRESENTATION OF SELF ANTIGENS IN VIVO." J. EXP. MED., 184, 923-930, SEPTEMBER 1996. KURTS, C., ET AL., "CLASS I-RESTRICTED CROSS-PRESENTATION OF EXOGENOUS SELF ANTIGENS LEADS TO DELETION OF AUTOREACTIVE CD8+ T CELLS," J. EXP. MED., 186, 239-245, JULY 21, 1997. KURTS, C., ET AL., "THE PERIPHERAL DELETION OF AUTOREACTIVE CD8+ T CELLS INDUCED BY CROSS-PRESENTATION OF SELF-ANTIGENS INVOLVES SIGNALING THROUGH CD95 (FAS, APO-1)," J. EXP. MED., 188, 415-420, JULY 20, 1998. KUZU, H., ET AL., "IN VIVO PRIMING EFFECT DURING VARIOUS STAGES OF ONTOGENY OF AN INFLUENZA A VIRUS NUCLEOPROTEIN PEPTIDE," EUR. J. IMMUNOL., 23:1397-1400, 1993. LARHAMMAR, D., ET AL., "ALPHA CHAIN OF HLA-DR TRANSPLANTATION ANTIGENS IS A MEMBER OF THE SAME PROTEIN SUPERFAMILY AS THE IMMUNOCLOBULINS,"		RESTRICTED CYTOTOXIC T CELL EPITOPES IN A CONSERVED REGION OF THE HUMAN IMMUNODEFICIENCY VIRUS TYPE 1 ENVELOPE GLYCOPROTEIN: DEFINITION OF MINIMUM EPITOPES AND ANALYSIS OF THE EFFECTS OF
CELL RESPONSES IN INDIVIDUALS CARRYING DIFFERENT SUBTYPES OF AN HILA ALLELE: IMPLICATIONS FOR EPITOPE-BASED ANITVIRAL VACCINES," J. VIROL, 71(10):7429-7435, OCTOBER 1997. KOVACSOVICS-BANKOWSKI, ET AL., "A PHAGOSOME-TO-CYTOSOL PATHWAY FOR EXOGENOUS ANTIGENS PRESENTED ON MHC CIASS I MOLECULES," SCIENCE, 267, 243-246, JANUARY 13, 1995. KURTS, ET AL., "CONSTITUTIVE CLASS I-RESTRICTED EXOGENOUS PRESENTATION OF SELF ANTIGENS IN VIVO." J. EXP. MED., 184, 923-930, SEPTEMBER 1996. KURTS, C., ET AL., "CLASS I-RESTRICTED CROSS-PRESENTATION OF EXOGENOUS SELF ANTIGENS LEADS TO DELETION OF AUTOREACTIVE CD8+ T CHLS," J. EXP. MED., 186, 239-245, JULY 21, 1997. KURTS, C., ET AL., "THE PERIPHERAL DELETION OF AUTOREACTIVE CD8+ T CELLS INDUCED BY CROSS-PRESENTATION OF SELF-ANTIGENS INVOLVES SIGNALING THROUGH CD95 (FAS, APO-1)," J. EXP. MED., 188, 415-420, JULY 20, 1998. KUZU, H., ET AL., "IN VIVO PRIMING EFFECT DURING VARIOUS STAGES OF ONTOGENY OF AN INFLUENZA A VIRUS NUCLEOPROTEIN PEPTIDE," EUR. J. IMMUNOL., 23:1397-1400, 1993. LARHAMMAR, D., ET AL., "ALPHA CHAIN OF HLA-DR TRANSPLANTATION ANTIGENS IS A MEMBER OF THE SAME PROTEIN SUPERFAMILY AS THE IMMUNOGLOBULINS,"	;	
EXOGENOUS ANTIGENS PRESENTED ON MHC CIASS I MOLECULES," SCIENCE, 267, 243-246, JANUARY 13, 1995. KURTS, ET AL., "CONSTITUTIVE CLASS I-RESTRICTED EXOGENOUS PRESENTATION OF SELF ANTIGENS IN VIVO," J. EXP. MED., 184, 923-930, SEPTEMBER 1996. KURTS, C., ET AL., "CLASS I-RESTRICTED CROSS-PRESENTATION OF EXOGENOUS SELF ANTIGENS LEADS TO DELETION OF AUTOREACTIVE CD8+ T CHLS," J. EXP. MED., 186, 239-245, JULY 21, 1997. KURTS, C., ET AL., "THE PERIPHERAL DELETION OF AUTCREACTIVE CD8+ T CELLS INDUCED BY CROSS-PRESENTATION OF SELF-ANTIGENS INVOLVES SIGNALING THROUGH CD95 (FAS, APO-1)," J. EXP. MED., 188, 415-420, JULY 20, 1998. KUZU, H., ET AL., "IN VIVO PRIMING EFFECT DURING VARIOUS STAGES OF ONTOGENY OF AN INFLUENZA A VIRUS NUCLEOPROTEIN PEPTIDE," EUR. J. IMMUNOL., 23:1397-1400, 1993. LARHAMMAR, D., ET AL., "ALPHA CHAIN OF HLA-DR TRANSPLANTATION ANTIGENS IS A MEMBER OF THE SAME PROTEIN SUPERFAMILY AS THE IMMUNOGLOBULINS,"		ALLELE: IMPLICATIONS FOR EPITOPE-BASED ANTIVIRAL VACCINES," J. VIROL,
OF SELF ANTIGENS IN VIVO," J. EXP. MED., 184, 923-930, SEPTEMBER 1996. KURTS, C., ET AL., "CLASS I-RESTRICTED CROSS-PRESENTATION OF EXOGENOUS SELF ANTIGENS LEADS TO DELETION OF AUTOREACTIVE CD8+ T CELLS," J. EXP. MED., 186, 239-245, JULY 21, 1997. KURTS, C., ET AL., "THE PERIPHERAL DELETION OF AUTCREACTIVE CD8+ T CELLS INDUCED BY CROSS-PRESENTATION OF SELF-ANTIGENS INVOLVES SIGNALING THROUGH CD95 (FAS, APO-1)," J. EXP. MED., 188, 415-420, JULY 20, 1998. KUZU, H., ET AL., "IN VIVO PRIMING EFFECT DURING VARIOUS STAGES OF ONTOGENY OF AN INFLUENZA A VIRUS NUCLEOPROTEIN PEPTIDE," EUR. J. IMMUNOL., 23:1397-1400, 1993. LARHAMMAR, D., ET AL., "ALPHA CHAIN OF HLA-DR TRANSPLANTATION ANTIGENS IS A MEMBER OF THE SAME PROTEIN SUPERFAMILY AS THE IMMUNOGLOBULINS,"		EXOGENOUS ANTIGENS PRESENTED ON MHC CLASS I MOLECULES," SCIENCE, 267,
SELF ANTIGENS LEADS TO DELETION OF AUTOREACTIVE CD8+ T CHLS," J. EXP. MED., 186, 239-245, JULY 21, 1997. KURTS, C., ET AL., "THE PERIPHERAL DELETION OF AUTOREACTIVE CD8+ T CELLS INDUCED BY CROSS-PRESENTATION OF SELF-ANTIGENS INVOLVES SIGNALING THROUGH CD95 (FAS, APO-1)," J. EXP. MED., 188, 415-420, JULY 20, 1998. KUZU, H., ET AL., "IN VIVO PRIMING EFFECT DURING VARIOUS STAGES OF ONTOGENY OF AN INFLUENZA A VIRUS NUCLEOPROTEIN PEPTIDE," EUR. J. IMMUNOL., 23:1397-1400, 1993. LARHAMMAR, D., ET AL., "ALPHA CHAIN OF HLA-DR TRANSPLANTATION ANTIGENS IS A MEMBER OF THE SAME PROTEIN SUPERFAMILY AS THE IMMUNOGLOBULINS,"		
INDUCED BY CROSS-PRESENTATION OF SELF-ANTIGENS INVOLVES SIGNALING THROUGH CD95 (FAS, APO-1)," J. EXP. MED., 188, 415-420, JULY 20, 1998. KUZU, H., ET AL., "IN VIVO PRIMING EFFECT DURING VARIOUS STAGES OF ONTOGENY OF AN INFLUENZA A VIRUS NUCLEOPROTEIN PEPTIDE," EUR. J. IMMUNOL., 23:1397-1400, 1993. LARHAMMAR, D., ET AL., "ALPHA CHAIN OF HLA-DR TRANSPLANTATION ANTIGENS IS A MEMBER OF THE SAME PROTEIN SUPERFAMILY AS THE IMMUNOGLOBULINS,"		SELF ANTIGENS LEADS TO DELETION OF AUTOREACTIVE CD8+ T CHLS," J. EXP.
ONTOGENY OF AN INFLUENZA A VIRUS NUCLEOPROTEIN PEPTIDE," <u>EUR. J. IMMUNOL.</u> , 23:1397-1400, 1993. LARHAMMAR, D., ET AL., "ALPHA CHAIN OF HLA-DR TRANSPLANTATION ANTIGENS IS A MEMBER OF THE SAME PROTEIN SUPERFAMILY AS THE IMMUNOGLOBULINS,"		INDUCED BY CROSS-PRESENTATION OF SELF-ANTIGENS INVOLVES SIGNALING
IS A MEMBER OF THE SAME PROTEIN SUPERFAMILY AS THE IMMUNOGLOBULINS,"		ONTOGENY OF AN INFLUENZA A VIRUS NUCLEOPROTEIN PEPTIDE," EUR. J.
		IS A MEMBER OF THE SAME PROTEIN SUPERFAMILY AS THE IMMUNOGLOBULINS,"

EXAMINER

DATE CONSIDERED

EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	600-1-291CON	SERIAL NO. 10/014, 877					
INFORMA	TION DISCLOSURE CITATION	Matthew L. Albert, et al.						
	se several sheets if necessary)	FILING DATE December 11, 2001	GROUP ART UNIT					
OTH	HER DOCUMENTS (INCLUDING AUTHOR, T		····					
	LAROCCA, D., ET AL., "A M., 46,000 HUMAN MILK FAT GLOBULE PROTEIN THAT IS HIGHLY EXPRESSED IN HUMAN BREAST TUMORS CONTAINS FACTOR VIII-LIKE DOMAINS," CANCER. RES, 51, 4994-4998, SEPTEMBER 15, 1991.							
	LI, ET AL., "PRIMING WITH RECOM ADMINISTRATION OF RECOMBIN MEDIATED PROTECTIVE IMMUNI USA, VOL. 90, PP 5214-5218, JUNE 19	VANT VACCINIA VIRUS IN TTY AGAINST MALARIA,"	NDUCES CD8+ T-CELL-					
	LIU, Z., ET AL., "INDIRECT RECOGNATION," J. CLIN	INITION OF DONOR HL. INVEST., 98, 1150-1157, S	A-DR PEPTIDES IN ORGAN EPTEMBER 1996.					
÷	LIU, Z., ET AL., "T CELL RECOGNI LEUKOCYTE ANTIGENS (HLA)-DE MOLECULES," <u>I. EXP. MED.,</u> 175, 166	R PEPTIDES IN CONTEXT	-IISTOCOMPATIBILIY TOF SYNGENEIC HLA-DR					
	MACATONIA, ET AL., "PRIMARY S' ANTIVIRAL PROLIFERATIVE AND JOURNAL OF EXPERIMENTAL ME	O CYTOTOXIC T CELL RE	SPONSES IN VITRO,"					
	MACATONIA, ET AL., "LOCALIZAT CELLS AFTER EXPOSURE TO THE ISOTHIOCYANATE," J.EXP.MED., V	CONTACT SENSITIZER I VOL 166, PG 1654-1667, DE	FLUORESCEIN CEMBER 1987.					
	MACPHERSON, ET AL., "ENDOTO: THE INTESTINE: CHARACTERIZA' DEPENDENCE," J. IMMUNOL. VOI	TION OF RELEASED DEI	NDRITIC CELLS AND TNF					
	MATHER, I.H., ET AL., "MEMBRAN PROTEINS AND COMPOSITIONAL GLOBULE MEMBRANE," J. DIARY	LHETEROGENEITY OF B	SOVINE MILK FAT					
	MATZINGER, P., ET AL., "DOES T-(ANTIGEN-PRESENTING CELL?," <u>N</u>	CELL TOLERANCE REQU NATURE, 338, 74-76, MARC	JIRE A DEDICATED H 2, 1989.					
	MOCLEAN, ET AL., "CDNA SEQUE JOURNAL OF BIOLOGICAL CHEM	NCE OF THE HUMAN IN <u>ISTRY,</u> 265, 17126-17131, 19	TEGRIN β5 SUBUNIT," 190.					
	MCMICHAEL, A.J., ET AL., "INFLUENZA VIRUS-SPECIFIC CYTOIOXIC T CELLS IN MAN; INDUCTION AND PROPERTIES OF THE CYTOTOXIC CELL," EUR. J. IMMUNOL 8:705-711, 1978.							
	MILLER, "THE ROLE OF THE CASI APOPTOSIS," <u>SEM. IMMUNOL</u> , 5:35		NE PROTEASES IN					
EXAMINER	D	ATE CONSIDERED						
EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.								

ATTY, DOCKET NO. SERIAL NO. FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE 600-1-291CON 10/014, 877 APPLICANT INFORMATION DISCLOSURE CITATION Matthew L. Albert, et al. FILING DATE GROUP ART UNIT (Use several sheets if necessary) **December 11, 2001** OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAPERS, ETC.) MOORE, M.W., ET AL., "INTRODUCTION OF SOLUBLE PROTEIN INTO THE CLASS I PATHWAY OF ANTIGEN PROCESSING AND PRESENTATION," CELL, 54777-785, SEPTEMBER 9, 1988. MOSES, R.D., ET AL., "XENOGENIC PROLIFERATION AND LYMPHOKINE PRODUCTION ARE DEPENDENT ON CD4+ HELPER T CELLS AND SELF ANTIGEN-PRESENTING CELLS IN THE MOUSE," J. EXP. MED., 172, 567-575, AUGUST 1990. MUKHERII, ET AL., "INDUCTION OF ANTIGEN-SPECIFIC CYTOLYTIC T CELLS IN SITU IN HUMAN MELANOMA BY IMMUNIZATION WITH SYNTHETIC PEPTIDE-PULSED AUTOLOGOUS ANTIGEN PRESENTING CELLS," PROC. NATL ACAD. SCI., USA, VOL. 92, PP. 8078-8082, AUGUST 1995. NAIR, ET AL., "INDUCTION OF PRIMARY, ANTIVIRAL; CYTOTOXIC AND PROLIFERATIVE RESPONSES WITH ANTIGENS ADMINISTERED VIA DENDRITIC CELLS," VIROL, 67:4062-4069, JULY 1993. NAIR, ET LA., "SOLUBLE PROTEINS DELIVERED TO DENDRITIC CELLS VIA PH-SENSITIVE LIPOSOMES INDUCE PRIMARY CYTOTOXIC T LYMPHOCYTE RESPONSES IN VITRO," J. EXP. MED., 175:609-612, FEBRUARY 1992. NONACS, ET AL., "MECHANISMS OF MOUSE SPLEEN DENDRITIC CELL FUNCTION IN THE GENERATION OF INFLUENZA-SPECIFIC, CYTOLYTIC T LYMPHOCYTES," I. EXP. MED., VOL. 176, PP. 519-529, AUGUST 1992. NORBURY, C.C., ET AL., "CONSTITUTIVE MACROPINOCYTOSIS ALLOWS TAP-DEPENDENT MAJOR HISTOCOMPATIBILITY COMPLEX CLASS I PRESENTATION OF EXOGENOUS SOLUBLE ANTIGEN BY BONE MARROW-DERIVED DENDRITIC CELLS." EUR. J. IMMUNOL., 27, 280-288, 1997. NUSSENZWEIG, M.C., ET AL., "STUDIES OF THE CELL SURFACE OF MOUSE DENDRITIC CELLS AND OTHER LEUKOCYTES," I. EXP. MED., 154, PP 168-187, JULY 1981. O'DOHERTY, ET AL., "HUMAN BLOOD CONTAINS TWO SUBSETS OF DENDRITIC CELLS, ONE IMMUNOLOGICALLY MATURE AND THE OTHER IMMATURE," IMMUNOLOGY, VOL. 82, PP. 487-493, 1994. OSBAND, ET AL., "PROBLEMS IN THE INVESTIGATIONAL STUDY AND CLINICAL USE OF CANCER IMMUNOTHERAPY," IMMUNOLOGY TODAY, VOL. 11. NO. 6, PP. 103-105, 1990. PAGLIA, P., ET AL., "MURINE DENDRITIC CELLS LOADED IN VITRO WITH SOLUBLE PROTEIN PRIME CYTOTOXIC T LYMPHOCYTES AGAINST TUMOR ANTIGEN IN VIVO," J. EXP. MED., 183, 317-322, JANUARY 1996. **EXAMINER** DATE CONSIDERED EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO. SERIAL NO. 600-1-201 CON

INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

000-1-291CON	10/014, 8//		
APPLICANT			
Matth	ew L. Albert, et al.		
FILING DATE	GROUP ART UNIT		
December 11, 2001	•		

OTHER DOCUMENT'S (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAPERS, ETC.)				
		ZED DENDRITIC CELL LINE FULLY COMPETENT IN ITIATES PRIMARY T CELL RESPONSES IN VIVO," J. EXP. DECEMBER, 1993.		
	PATTON, S., ET AL., "THE MILI ACTA, 415:273-309, 1975.	K FAT GLOBULE MEMBRANE," <u>BIOCHIM BIOPHYS</u>		
	CYTOTOXIC T LYMPHOCYTES	ONCOGENE-TRANSFORMED CELLS BY SPECIFIC SELICITED BY PRIMARY IN VITRO IMMUNIZATION E," J. EXP. MED., VOL. 179, PP. 473-479, FEBRUARY, 1994.		
		OPROTEINS OF THE HUMAN MILK FAT GLOBULE IN LEAST-FED INFANT AGAINST INFECTIONS," <u>BIOL.</u>		
		HERAL BLOOD PROGENITOR CELL TRANSPORTATION IA USING A SINGLE APHERESIS," <u>BLOOD</u> , VOL 82, NO. 5, 1993.		
		MENTAL REGULATION OF MHC CLAS II TRANSPORT " <u>NATURE</u> , 388, 787-792, AUGUST 21, 1997.		
		CYTIC PROCESSING OF BACTERIAL ANTIGENS FOR TO T CELLS," <u>NATURE</u> , 361, 359-362, JANUARY 1993.		
	PLATT, N., ET AL., "RECOGNIZ CELLS," TRENDS CELL BIOL., 8	ING DEATH: THE PHAGOCYTOSIS OF APOPTOTIC 8, 365-372, SEPTEMBER 1998.		
	POPE, M., ET AL, "LOW LEVELS OF HIV-1 INFECTION IN CUTANEOUS DENDRITIC CELLS PROMOTE EXTENSIVE VIRAL REPLICATION UPON BINDING TO MEMORY CD4+ T CELLS," J. EXP. MED., 182, 2045-2056, DECEMBER 1995.			
	PORGADOR, A. AND GILBOA, E., "BONE MARROW-GENERATED DENDRITIC CELLS PULSED WITH A CLASS I-RESTRICTED PEPTIDE ARE POTENT INDUCERS OF CYTOTOXIC T LYMPHOCYTES," J. EXP. MED., 182, 255-260, JULY 1995.			
	RAPOSO, ET AL., "B LYMPHOCYTES SECRETE ANTIGEN-PRESENTING VESICLES," J. EXP. MED., 183: 1161-1172, MARCH 1996.			
	REIS E SOUSA, C., ET AL., "PHAGOCYTOSIS OF ANTIGENS BY LANGERHANS CELLS IN VITRO," J. EXP. MED., 178, 509-519, AUGUST, 1993.			
	REIS E SOUSA, C., ET AL., "MAJOR HISTOCOMPATIBILITY COMPLEX CLASS I PRESENTATION OF PEPTIDES DERIVED FROM SOLUBLE EXOGENOUS ANTIGEN BY A SUBSET OF CELLS ENGAGED IN PHAGOCYTOSIS," J. EXP. MED., 182, 841-851, SEPTEMBER 1995.			
EXAMINER		DATE CONSIDERED		

EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

Sheet 14 of 19 SERIAL NO. ATTY, DOCKET NO. U.S. DEPARTMENT OF COMMERCE PATENT FORM PTO-1449 10/014, 877 600-1-291CON AND TRADEMARK OFFICE APPLICANT INFORMATION DISCLOSURE CITATION Matthew L. Albert, et al. GROUP ART UNIT FILING DATE (Use several sheets if necessary) **December 11, 2001** OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAPERS, ETC.) REN. Y., ET AL., "CD36 GENE TRANSFER CONFERS CAPACITY FOR PHAGOCYTOSIS OF CELLS UNDERGOING APOPTOSIS," J. EXP. MED., 181, 1857 (ABSTRACT), MAY 1995. RESCIGNO, M., ET AL., BACTERIA-INDUCED NEO-BIOSYNTHESIS, STABILIZATION, AND SURFACE EXPRESSION OF FUNCTIONAL CLASS I MOLECULES IN MOUSE DENDRITIC CELLS," PNAS 95, 5229-5234, APRIL 1998. RIDDELL, ET AL, "RESTORATION OF VIRAL IMMUNITY OF IMMUNODEFICIENT HUMANS BY THE ADOPTIVE TRANSFER OF T CELL CLONES," SCIENCE, VOL 257, PP, 238-241, JULY 10, 1992. RIDGE, J.P., ET AL., "A CONDITIONED DENDRITIC CELL CAN BE A TEMPORAL BRIDGE BETWEEN A CD4+ T-HELPER AND A T-KILLER CELL," NATURE, 393, 474-478, **IUNE 1998.** RIESER, C., ET AL., "PROSTAGLANDIN E2 AND TUMOR NECROSIS FACTOR α COOPERATE TO ACTIVATE HUMAN DENDRITIC CELLS: SYNERGISTIC ACTIVATION OF INTERLEUKIN 12 PRODUCTION," I. EXP. MED., 186, 1603-1608, NOVEMBER 3, 1997. ROAKE, ET AL., "DENDRITIC CELL LOSS FROM NON-LYMPHOID TISSUES AFTER SYSTEMIC ADMINISTRATION OF LIPOPOLYSACCHARIDE, TUMOR NECROSIS FACTOR, AND INTERLEUKIN-1," J. EXP. MED. VOL. 181, PP. 2237-2247, JUNE 1995. ROMANI, ET AL., "GENERATION OF MATURE DENDRITIC CELLS FROM HUMAN BLOOD:AN IMPROVED METHOD WITH SPECIAL REGARD TO CLINICAL APPLICABILITY," I. IMMUNOLOGICAL METHODS, VOL. 196, PP. 137-151, 1996. ROMANI N., ET AL., "PRESENTATION OF EXOGENOUS PROTEIN ANTIGENS BY DENDRITIC CELLS TO T CELL CLONES: INTACT PROTEIN IS PRESENTED BEST BY IMMATURE EPIDERMAL LANGERHANS CELLS," J. EXP. MED., 169, 1169-1178, 1989. CASCIOLA-ROSEN, ET AL., "AUTOANTIGENS TARGETED IN SYSTEMIC LUPUS ERYTHEMATOSUS ARE CLUSTERED IN TWO POULATIONS OF SURFACE STRUCTURES ON APOPTOTIC KERATINOCYTES," I. EXP. MED., 179, 1317-1330, APRIL 1994. CASCIOLA-ROSEN, ET AL., "ULTRAVIOLET LIGHT-INDUCED KERATINOCYTE APOPTOSIS: A POTENTIAL MECHANISM FOR THE IDUCTION OF SKIN LESIONS AND AUTOANTIBODY PRODUCTION IN LE," LUPUS, VOL. 6, NO. 2, PP 175-180, 1997. CASCIOLA-ROSEN, ET AL., "SURFACE BLEBS ON APOPTOTIC CELLS ARE SITES OF ENHANCED PROCOAGULANT ACTIVITY: IMPLICATIONS FOR COAGULATION EVENTS AND ANTIGENIC SPREAD IN SYSTEMIC LUPUS ERYTHEMATOSUS," PROC.

EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

NATL. ACAD. SCI. USA, VOL. 93, PP 1624-1629, FEBRUARY 1996.

DATE CONSIDERED

EXAMINER

U.S. DEPARTMENT OF COMMERCE PATENT

AND TRADEMARK OFFICE

ATTY. DOCKET NO. SERIAL NO.

600-1-291CON 10/014, 877

APPLICANT

INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Matthew L. Albert, et al.

FILING DATE GROUP ART UNIT

December 11, 2001

UMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAPERS, ETC.)
ROSEN, ET AL., "AUTOANTIGENS AS SUBSTRATES FOR APOPTOTIC PROTEASES: IMPLICATIONS FOR THE PATHOGENESIS OF SYSTEMIC AUTOIMMUNI DISEASE," CELL DEATH AND DIFFERENTIATION, VOL 6. NO. 1, PP 6-12, JANUARY 1999.
ROSEN, ET AL., "NOVEL PACKAGES OF VIRAL AND SELF-ANTIGENS ARE GENERATED DURING APOPTOSIS," <u>J. EXP. MED.</u> , VOL. 181, NO. 4, PP 1557-1561, APRI 1995.
ROVERE, ET AL., "CUTTING EDGE: BYSTANDER APOPTOSIS TRIGGERS DENDRITI CELL MATURATION AND ANTIGEN-PRESENTING FUNCTON," THE JOURNAL OF IMMUNOLOGY, 161, PP 4467-4471, 1998.
RUBARTELLI, ET AL., "THE SELECTIVE ENGULFMENT OF APOPIOTIC BODIES BY DENDRITIC CELLS IS MEDIATED BY THE αVβ3 INTERGRIN AND REQUIRES INTRACELLULAR AND EXTRACELLULAR CALCIUM," <u>EUR. J.IMMUNOL.</u> , 27:1893-190/1997.
RYEOM, S.W., ET AL., "CD36 PARTICPATES IN THE PHAGOCYTOSIS OF ROD OUTER SEGMENTS BY RETINAL PIGMENT EPITHELIUM," <u>JOURNAL OF CELL SCIENCE</u> , 109 387-395, 1996.
SALLUSTO, ET AL., "DENDRITIC CELLS USE MACROPINOCYTOSIS AND THE MANNOSE RECEPTOR TO CONCENTRATE MACROMOLECULES IN THE MAJOR HISTOCOMPATIBILITY COMPLEX CLASS II COMPARTMENT: DOWNREGULATION E CYTOKINES AND BACTERIAL PRODUCTS," J. EXP. MED., VOL. 182, PP. 389-400, AUGUST 1995.
SALLUSTO, ET AL., "EFFICIENT PRESENTATION OF SOLUBLE ANTIGEN BY CULTURED HUMAN DENDRITIC CELLS IS MAINTAINED BY GRANULOCYTE/MACROPHAGE COLONY-STIMULATING FACTOR PLUS INTERLEUKIN 4 AND DOWNREGULATED BY TUMOR NECROSIS FACTOR α," J. EXI MED., VOL. 179, PP. 1109-1118, APRIL 1994.
SALLUSTO, F, ET AL., "CERAMIDE INHIBITS ANTIGEN UPTAKE AND PRESENTATIO BY DENDRITIC CELLS," <u>I. EXP. MED.</u> , 184, 2411-2416, DECEMBER 1996.
SAVILL, J., "PHAGOCYTIC DOCKING WITHOUT SHOCKING," <u>NATURE</u> , 392442-443, APRIL 1998.
SAVILL, J., "RECOGNITION AND PHAGOCYTOSIS OF CELLS UNDERGOING APOPTOSIS," <u>BR. MED. BULL.</u> , 53, 491-508, 1997.
SAVILL, J., ET AL., "VITRONECTIN RECEPTOR-MEDIATED PHAGOCYTOSIS OF CELL

EXAMINER

DATE CONSIDERED

EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

600-1-291CON

ATTY. DOCKET NO.

SERIAL NO.

APPLICANT

10/014, 877

Matthew L. Albert, et al.

FILING DATE

December 11, 2001

(Use several sheets if necessary)

INFORMATION DISCLOSURE CITATION

SAVILL, J. ET AL., "THROMBOSPONDIN COOPERATES WITH CD36 AND THE VTIRONECTIN RECEPTOR IN MACROPHAGE RECOGNITION OF NEUTROPHILS UNDERGOING APOPTOSIS," <u>IOURNAL OF CLINICAL INVESTIGATION</u>, 90, 1513-1522, OCTOBER 1992. SCHAMBOECK, ET AL, "ORGANIZATION OF THE TRANSCRIPTIONAL UNIT OF A HUMAN CLASS II HISTOCOMPATIBILITY ANTIGEN: HLA-DR HEAVY CHAIN," NUCL. ACIDS RES., 11, 8663-8675, DECEMBER 20, 1983. SCHOENBERGER, S.P., ET AL., "T-CELL HELP FOR CYTOTOXIC T LYMPHOCYTES IS MEDIATED BY CD40-CD40L INTERACTIONS," NATURE, 393, 480-483, JUNE 1998. SCHULZ, ET AL., "PEPTIDE-INDUCED ANTIVIRAL PROTECTION BY CYTOTOXIC T CELLS," PROC. NATL, ACAD, SCI. USA, 88:991-993, FEBRUARY 1991. SHEN, Z., ET AL., "CLONED DENDRITIC CELLS CAN PRESENT EXOGENOUS ANTIGENS ON BOTH MHC CLASS I AND CLASS II MOLECULES," I. IMMUNOL., 158, 2723-2730, MARCH 15, 1997. SILVERSTEIN, R.L., ET AL., "SENSE AND ANTISENSE CDNA TRANSFECTION OF CD36 (GLYCOPROTEIN IV) IN MELANOMA CELLS. ROLE OF CD36 AS A THROMBOSPONDIN RECEPTOR," I. BIOLOGICAL CHEMISTRY, 267, 16607-16612, AUGUST 15, 1992. SNYDER, H.L., ET AL., "TWO NOVEL ROUTES OF TRANSPORTER ASSOCIATED WITH ANTIGEN PROCESSING (TAP)-INDEPENDENT MAJOR HISTOCOMPATIBILITY COMPLEX CLASS I ANTIGEN PROCESSING," J. EXP. MED., 186, 1087-1098, OCTOBER 6, SORNASSE, ET AL., "LOADING OF DENDRITIC CELLS WITH ANTIGEN IN VITRO OR IN VIVO BY IMMUNOTARGETING CAN REPLACE THE NEED FOR ADJUVANT," ADV. EXP. MED. BIOL., VOL. 329, 1993, PP. 299-303.

OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAPERS, ETC.)

EXAMINER

DATE CONSIDERED

STEINMAN, R.M., ET AL., "DENDRITIC CELLS IN THE T CELL AREAS OF LYMPHOID

STEINMAN, "THE DENDRITIC CELL SYSTEM AND ITS ROLE IN IMMUNOGENICITY", ANN. REV.

STEINKAMP, J.A., ET AL., "PHAGOCYTOSIS: FLOW CYTOMETRIC QUANITITATION WITH FLUORESCENT MICROSPHERES," SCIENCE, 215, 64-66, JANUARY 1982.

SUGITA, M., ET AL., "ASSOCIATION OF THE INVARIANT CHAIN WITH MAJOR HISTOCOMPATIBILITY COMPLEX CLASS I MOLECULES DIRECTS TRAFFICKING TO ENDOCYTIC COMPARTMENTS," JOURNAL OF BIOLOGICAL CHEMISTRY, 270, 1443-

EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

ORGANS," IMMUNOL. REV., 156, 25-37, 1997.

IMMUNOLOGY, VOL. 9, PP.271-296, 1991

1448, JANUARY 20, 1995.

Sheet 17 of 19 ATTY. DOCKET NO. SERIAL NO. FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE 600-1-291CON 10/014, 877 APPLICANT INFORMATION DISCLOSURE CITATION Matthew L. Albert, et al. FILING DATE GROUP ART UNIT (Use several sheets if necessary) **December 11, 2001** OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAPERS, ETC.) SUSS, ET AL., "A SUBCLASS OF DENDRITIC CELLS KILLS CD4 T CELLS VIA FAS/FAS-LIGAND-INDUCED APOPTOSIS," J. EXP. MED., 183:1789-1796, APRIL 1996. SUTO, ET AL., "A MECHANISM FOR THE SPECIFIC IMMUNOGENICITY OF HEAT SHOCK PROTEIN-CHAPERONED PEPTIDES," SCIENCE, 269, 1585-1588, SEPTEMBER 15. 1995. SZABOLCS, ET AL., "EXPANSION OF IMMUNOSTIMULATORY DENDRITIC CELLS AMONG THE MYELOID PROGENY OF HUMAN CD34+ BONE MARROW PRECURSORS CULTURED WITH C-KIT LIGAND, GRANULOCYTE-MACROPHAGE COLONY-STIMULATING FACTOR, AND TNF-α^{1,2,*} <u>I. IMMUNOL.</u>, VOL. 154, PP. 5851-5861, 1995. TAYLOR, M.R., ET AL., "LACTADHERIN (FORMERLY BA46), A MEMBRANE-ASSOCIATED GLYCOPROTEIN EXPRESSED IN HUMAN MILK AND BREAST CARCINOMAS, PROMOTES ARG-GLY-ASP (RGD)-DEPENDENT CELL ADHESION," DNA CELL BIOL., 16:861-869, NOVEMBER 7, 1997. THORNBERRY, N.A., ET AL., "A NOVEL HETERODIMERIC CYCTEINE PROTEASE IS REQUIRED IN INTERLEUKIN-1B PROCESSING IN MONOCYTES," NATURE, 356, 768-774, APRIL 30, 1992. VAN DER BRUGGEN, P., ET AL. "A GENE ENCODING AN ANTIGEN RECOGNIZED BY CYTOLYTIC T LYMPHOCYTES ON A HUMAN MELANOMA," SCIENCE, 254:1643-1647, DECEMBER 13, 1991. VOLL, R.E., ET AL., "IMMUNOSUPPRESSIVE EFFECTS OF APOPTOTIC CELLS," NATURE, 390, 350-351, NOVEMBER 27, 1997. VREMEC, D., ET AL., "THE SURFACE PHENOTYPE OF DENDRITIC CELLS PURIFIED FROM MOUSE THYMUS AND SPLEEN: INVESTIGATION OF THE CD8 EXPRESSION BY A SUBPOPULATION OF DENDRITIC CELLS," J. EXP. MED., 176, 47-58, JULY 1992. WANG, ET AL., "HUMAN TUMOR ANTIGENS RECOGNIZED BY T LYMPHOCYTES: IMPLICATIONS FOR CANCER THERAPY," JOURNAL OF LEUKOCYTE BIOLOGY, VOL. 60, PP. 296-309, SEPTEMBER 1996. WATTS, C., "CAPTURE AND PROCESSING OF EXOGENOUS ANTIGENS FOR PRESENTATION ON MHC MOLECULES," ANNU. REV. IMMUNOL., 15, 821-850, 1997. WONG, B.R., ET AL., "TRANSCE (TUMOR NECROSIS-FACTOR (TNF)-RELATED

EXAMINER

DATE CONSIDERED

ACTIVATION-INDUCED CYTOKINE), A NEW TNF FAMILY MEMBER PREDOMINATLY EXPRESSED IN T CELLS, IS A DENDRITIC CELL SPECIFIC SURVIVAL FACTOR," I, EXP.

EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

MED., 186, 2075-2080, DECEMBER 15, 1997.

ATTY, DOCKET NO. SERIAL NO. FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE 600-1-291CON 10/014, 877 APPLICANT INFORMATION DISCLOSURE CITATION Matthew L. Albert, et al. FILING DATE GROUP ART UNIT (Use several sheets if necessary) December 11, 2001 OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAPERS, ETC.) WRAITH, ET AL., "ANTIGEN RECOGNITION IN AUTOIMMUNE ENCEPHALOMYELITIS AND THE POTENTIAL FOR PEPTIDE-MEDIATED IMMUNOTHERAPY," CELL, VOL.59. PP. 247-255, 1989. WRAITH, ET AL., "INFLUENZA VIRUS-SPECIFIC CYTOTOXIC T-CELL RECOGNITION: STIMULATION OF NUCLEOPROTEIN-SPECIFIC CLONES WITH INTACT ANTIGEN", IMMUNOLOGY, "VOL. 59, PP. 173-180, 1986. WYLLIE, "APOPTOSIS AND CARCINOGENESIS," EUROPEAN JOURNAL OF CELL. BIOL., 73:189-197, JULY 1997. WYLLIE, A.H., "GLUCOCORTICOID-INDUCED THYMOCYTE APOPTOSIS IS ASSOCIATED WITH ENDOGENOUS ENDONUCLEASE ACTIVIATION,"NATURE, 284. 555-556, APRIL 1980. WYSOCKA, ET AL., "IDENTIFICATION OF OVERLAPPING CLASS I AND CLASS II H-24-RESTRICTED T CELL DETERMINANTS OF INFLUENZA VIRUS N1 NEURAMINIDASE THAT REQUIRE INFECTIOUS VIRUS FOR PRESENTATION," VIROL, VOL. 201: 86-94, 1994. YOUNG, ET AL., "DENDRITIC CELLS AS ADJUVANTS FOR CLASS I MAJOR HISTOCOMPATIBILITY COMPLEX-RESTRICTED ANTITUMOR IMMUNITY," J. EXP. MED., VOL. 183, PAGES 7-11, JANUARY 1996. YOUNG, ET AL., "DENDRITIC CELLS STIMULATE PRIMARY HUMAN CYTOLYTIC LYMPHOCYTE RESPONSES IN THE ABSENCE OF CD4+ HELPER T CELLS," JOURNAL OF EXPERIMENTAL MEDICINE, VOL. 171, PP. 1315-1332, APRIL 1990. ZAL, T., ET AL., "MECHANISMS OF TOLERANCE INDUCTION IN MAIOR HISTOCOMPATIBILITY COMPLEX CLASS II-RESTRICTED T CELLS SPECIFIC FOR A BLOOD-BORNE SELF-ANTIGEN," J. EXP. MED., 180, 2089-2099, DECEMBER 1994. ZHOU, F., ET AL., "LIPOSOME-MEDIATED CYTOPLASMIC DELIVERY OF PROTEINS: AN EFFECTIVE MEANS OF ACCESSING THE MHC CLAS 1-RESTRICTED ANTIGEN PRESENTATION PATHWAY," IMMUNOMETHODS, 4:229-235, 1994. ZHOU, ET AL., "HUMAN BLOOD DENDRITIC CELLS SELECTIVELY EXPRESS CD83, A MEMBER OF THE IMMUNOGLOBULIN SUPERFAMILY," THE JOURNAL OF IMMUNOLOGY, VOL. 154, PP. 3821-3835, 1995. ZITVOGEL, L., ET AL, "THERAPY OF MURINE TUMORS WITH TUMOR PEPTIDE PULSED DENDRITIC CELLS: DEPENDENCE ON T-CELLS, B7 COSTIMULATION, AND T HELPER CELL 1-ASSOCIATED CYTOKINES," I. EXP. MED., IN PRESS, VOL 183,87-97, **IANUARY 1996. EXAMINER** DATE CONSIDERED EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

				Section 2		
FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATE AND TRADEMARK OFFICE			600-1-291CON	SERIAL NO. 10/014, 877		
			APPLICANT			
INFORMATION DISCLOSURE CITATION			Matthew L. Albert, et al.			
ά	Too norrow	al about if management	FILING DATE	GROUP ART UNIT		
(Use several sheets if necessary)		December 11, 2001				
OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAPERS, ETC.)						
		ZOOCHI, M.R., ET AL., "THE RGD INHIBITS THE ENGULFMENT OF 11:1227-1235, AUGUST 1997.	-CONTAINING DOMAIN (F APOPTOTIC BODIES BY	OF EXOGENOUS HIV-1 TAT DENDRITIC CELLS," <u>AIDS</u> ,		
		•				
			····			
-						
EXAMINER		I	DATE CONSIDERED			
EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMINICATION TO APPLICANT.						